

Gloucestershire Safeguarding Adults Board (GSAB)

Strategic Plan and Annual Business Plan 2022/25

Strategic Plan PRIORITY 1 - To increase awareness and understanding of Adult Safeguarding among professionals and the public in Gloucestershire

Goal <i>(What do we want to achieve)</i>	Initiative <i>(How will we achieve our goal)</i>	Metric <i>(How will we know we have achieved our goal)</i>	Lead/sub group	Timescale
1.1 We will provide information to improve and increase public awareness of what constitutes abuse or neglect and what to do if they suspect or experience abuse and or neglect.	We will undertake a publicity campaign through the use of various communication channels and media including our GSAB Roadshows, Board Quarterly Newsletters, our Board Alert system, local press and channels of communication available through our extensive Voluntary and Community Sector.	<ul style="list-style-type: none">Evaluation of all local coverage and dissemination of the publicity campaignInformation is distributed widely through a variety of community links across GloucestershireA GSAB Roadshow specifically aimed at the public was held for the first time in 2022. This included topics: What is Adult Safeguarding?, Staying safe online and scams (Trading Standards), Social Isolation and Loneliness.	Communication & Engagement Sub Group	Business Plan Year 1 - 2022/23

	<p>We will continue to utilise a variety of communication channels to improve awareness of the types of abuse (physical, discriminatory, domestic, sexual, organizational, psychological and emotional, financial, modern slavery, self-neglect) and neglect.</p>	<ul style="list-style-type: none"> Safeguarding data analysis will evidence changes in the types of abuse and referrer Partners, including the Fire Service will promote Safeguarding awareness through their Community Events The GSAB website is being updated and will include a new Learning Hub, with information for the public on adult safeguarding 	<p>Communication & Engagement Sub Group</p> <p>Performance, Data & Quality Assurance Sub Group</p> <p>Fire Safety Development Sub Group</p>	<p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 1 - 2022/23</p>
	<p>Increase public awareness of Adult Safeguarding through support of National Safeguarding Adults Week in November and the annual GSAB Roadshows</p>	<ul style="list-style-type: none"> Campaign analytics for the week of awareness will monitor the use of website, social media, and news subscribers 	<p>Board Business Manager</p>	<p>Business Plan Year 1 - 2022/23</p>
<p>1.2 We will increase public awareness of how to report safeguarding concerns, making safeguarding everybody's business</p>	<p>We will develop publicity using the strapline "safeguarding is everybody's business" ensuring that people know how to report concerns</p>	<ul style="list-style-type: none"> Publicity materials signpost members of the public so they know how to report concerns Through analysis of data we will monitor the impact of publicity on the "source" and types of abuse (or neglect) reported Increased safeguarding referrals from members of the public, family members and neighbours 	<p>Communication & Engagement Sub Group</p> <p>Performance, Data & Quality Assurance Sub Group</p>	<p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p>

	<p>We will complete a review of the GSAB website to improve ease of access, retrieval of information and signposting</p>	<ul style="list-style-type: none"> • The Communication and Engagement Sub Group will complete a review of the website re ease of access with areas for staff and public information • The GSAB website is being updated and will include a new Learning Hub, with information for the public on adult safeguarding 	<p>Communication & Engagement Sub Group</p> <p>Board Business Manager</p>	<p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 2 - 2023/24</p>
	<p>We will raise awareness and understanding among professionals and the community of the risks posed by social isolation and frailty, especially in rural communities and harder to reach groups.</p>	<ul style="list-style-type: none"> • The Communication and Engagement Sub Group will work closely with the Health and Well-Being Board and Safer Gloucestershire on key messages • The Fire Safety Development Group will conduct an audit into the factors which increase the risk of adults being a victim of fire in Gloucestershire • The Fire Safety Development Group will develop an appropriate response to the audit re the factors that increase the risk posed by fire • A GSAB Roadshow specifically for the public was held for the first time in 2022. This included topics: What is Adult Safeguarding?, Staying safe online and scams (Trading Standards), Social Isolation and Loneliness. 	<p>Communication & Engagement Sub Group</p> <p>Fire Safety Development Sub Group</p> <p>Fire Safety Development Sub Group</p>	<p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 2 - 2023/24</p>

	<p>We will develop an approach for engaging with carers and advocates recognising their importance in safeguarding adults with care and support needs</p>	<ul style="list-style-type: none"> • The Communication and Engagement Sub Group will seek regular feedback from Carers and POhWER advocacy • Carers Gloucestershire and POhWER are GSAB members and this provides them with a platform to raise issues and areas of concern 	<p>Communication & Engagement Sub Group</p> <p>Independent Chair and Board Business Manager</p>	<p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p>
	<p>We will seek assurance that the issues raised in the 2020 Public Health Report "Beyond COVID", that identified long term health Inequalities that have impacted upon people from Black, Asian and Minority Ethnic (BAME) backgrounds are being addressed</p>	<ul style="list-style-type: none"> • Case studies are shared and promoted where appropriate to share good practice standards • We will seek assurance from relevant partnerships e.g. the Health and Well-Being Board and the Integrated Care Board that the issues are being taken forward • We will seek assurance through the BAME VCS of its contribution to reducing health inequalities in Gloucestershire and how the GSAB can support this work 	<p>Workforce Development Sub Group</p> <p>Independent Chair and Board Business Manager</p> <p>Communication & Engagement Sub Group</p>	<p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p>
1.3 Board members understand their role, partners role and the SAB role in the prevention of abuse or neglect	<p>We will facilitate an annual development session with Board members to provide an opportunity for learning, development and strategic planning</p>	<ul style="list-style-type: none"> • Board development supports strategic planning process • Organisational learning and priorities inform and identify new threats and priorities • Planning has begun for the 2022 GSAB CPD event. 	<p>Board Business Manager</p> <p>Independent Chair and Board Business Manager</p>	<p>Business Plan Year 2 - 2023/24</p> <p>Business Plan Year 2 - 2023/24</p>
	<p>Independent Chair and Board Manager will maintain links to national and regional safeguarding networks and inform developmental projects</p>	<ul style="list-style-type: none"> • GSAB receive regular updates in respect of national and regional developments relating to the SAB • Learning from other SABs is adopted in Gloucestershire 	<p>Independent Chair and Board Business Manager</p>	<p>Business Plan Year 1 - 2022/23</p>

1.4 There will be appropriate membership and representation at the GSAB and sub groups to support the work of the Board	We will ensure that we engage with all relevant organisations and partners involved in safeguarding adults re future Board membership and commitment	<ul style="list-style-type: none"> Representation from Providers and VCS are recruited to the Board, as deemed necessary Sub Group attendance is monitored on an ongoing basis Terms of reference are reviewed, and membership updated Attendances will be monitored and issues escalated to the Business Planning Group as required 	Independent Chair and Board Business Manager	Business Plan Year 1 - 2022/23
1.5 Improve synergy and collaborative working with wider strategic partnerships in Gloucestershire.	We will establish mechanisms to ensure there is collaboration in relation to cross cutting issues to encourage sharing of priorities and reduced duplication, including prevention initiatives and raising awareness of Adverse Childhood Experiences	<ul style="list-style-type: none"> Receive update reports and plans from other Strategic Boards/Partnerships annually or as required Strategic Partnership Chair's & Business Managers meet at least yearly There is a shared ownership of recovery and joined up working across key areas 	Independent Chair and Board Business Manager	Business Plan Year 1 - 2022/23 Business Plan Year 2 - 2023/24 Business Plan Year 1 - 2022/23
	We will continue to promote and seek assurance that agencies promote the use of Trauma Informed Practices in order to support and produce sustainable long-term benefits and outcomes	<ul style="list-style-type: none"> Partners provide regular assurance including how they support individuals who have experienced ACEs and how they apply the principles of Trauma Informed Practice Case studies and good practice examples are shared We will undertake quality checks as part of our multi-agency case file audits and check the application of Trauma Informed Practice principles 	Performance, Data & Quality Assurance Sub Group	Business Plan Year 2 - 2023/24

Strategic Plan PRIORITY 2 - Prevention and Responding to Reports of Abuse and Neglect

Goal (What do we want to achieve)	Initiative (How will we achieve our goal)	Metric (How will we know we have achieved our goal)	Lead/sub group	Timescale
2.1 We will continue to develop a person-centred response to safeguarding adults through Making Safeguarding Personal (MSP)	We will analyse feedback in relation to experiences to assess if desired outcomes have been achieved and consider service improvements across the partnership as required	<ul style="list-style-type: none"> Quarterly MSP data will be reviewed, and exceptions/changes analysed with appropriate actions agreed Partners provide regular assurance including how organisationally MSP is applied 	Performance, Data & Quality Assurance Sub Group Performance, Data & Quality Assurance Sub Group	Business Plan Year 2 - 2023/24 Business Plan Year 2 - 2023/24
	We will review GSAB practitioner, public facing, and easy read Making Safeguarding Personal guides in line with national LGA/ADASS toolkits	<ul style="list-style-type: none"> Refreshed tools and guides are published and hosted on the GSAB website 	Policy and Procedure Sub Group	Business Plan Year 2 - 2023/24
	We will seek assurance that partners promote ownership of MSP across organisations in line with national guidance	<ul style="list-style-type: none"> Statutory partners provide assurance in line with ADASS/LGA guides "what good might look like" We will assess compliance by undertaking our GSAB Self-Assessment Audit 	Performance, Data & Quality Assurance Sub Group GSAB Business Manager	Business Plan Year 2 - 2023/24 Business Plan Year 1 - 2022/23
2.2 We will listen to the voice of adults who have experienced abuse or neglect and will facilitate sharing user experience to inform our improvement and practice	We will develop a mechanism to gather a sample of experiential feedback from individuals with care and support needs who have been through the safeguarding journey	<ul style="list-style-type: none"> A sample of adults will be supported to provide qualitative experiential feedback through the Safeguarding Adults Service and Advocacy 	Communication & Engagement Sub Group	Business Plan Year 2 - 2023/24
	We will facilitate sharing user experience through practitioners, advocates, case studies and other methods to ensure the voice of the adult is heard	<ul style="list-style-type: none"> Case studies and good practice examples are shared Examples of case studies have been obtained and website development is beginning in preparation to be launched in early 2023. 	Communication & Engagement Sub Group and Workforce Development Sub	Business Plan Year 2 - 2023/24

<p>2.3 GSAB will support the recovery agenda to ensure safeguarding adults at risk remains a priority in Gloucestershire</p>	<p>We will link with the Local Resilience Forum (LRF) and the Covid-19 ICS Response to Independent Sector Providers for Health & Social Care Scrutiny and Support Group in order to strengthen the recovery journey and monitor the Early Warning Indicators</p>	<ul style="list-style-type: none"> GSAB will contribute to the Recovery Strategy consultation process identifying relevant areas where GSAB can add value GSAB will seek assurance from the Covid-19 ICS Response to Independent Sector Providers for Health & Social Care Scrutiny and Support Group on the measures relating to; wellbeing, isolation and feeling safe all which impact on safeguarding 	<p>Head of Safeguarding Adults, GCC</p>	<p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 1 - 2022/23</p>
<p>2.4 We will seek assurance there is a comprehensive understanding and appropriate application of the Mental Capacity Act (MCA)</p>	<p>We will develop an audit tool to seek assurance from partner agencies that there is a robust training programme in place for staff in relation to MCA in line with the National Competency Framework</p>	<ul style="list-style-type: none"> GSAB will develop an audit tool for dissemination and completion by partners reporting to Business Planning Group 	<p>Mental Capacity Act (MCA) Governance Manager</p>	<p>Business Plan Year 2 - 2023/24</p>
	<p>Through a multi-agency case file audit, we will seek assurance on the application of MCA and principles in practice</p>	<ul style="list-style-type: none"> GSAB will develop a multi-agency case file audit tool to quality check the application of MCA and principles 	<p>Performance, Data & Quality Assurance Sub Group</p>	<p>Business Plan Year 3 - 2024/25</p>

	Through audit processes quality check Best Interest decisions and recording ensuring the statutory checklist is applied	<ul style="list-style-type: none"> GSAB will develop a quality check for Best Interest decision, recording and actions against statutory checklist Improvements identified and reported to P&QA will be escalated to GSAB as required 	Performance, Data & Quality Assurance Sub Group	Business Plan Year 3 - 2024/25 Business Plan Year 3 - 2024/25
2.5 GSAB will have effective multi-agency policy and guidance in place	We will continue to review and develop multi-agency policy, procedures, and guidance in line with relevant legislation	<ul style="list-style-type: none"> Updated multi-agency guidance is available for staff and professionals There is an inventory of all GSAB policies and guidance subject to development with timescales for review GSAB policy and guidance is promoted through learning sessions and briefings as appropriate All multi-agency policy, procedures and guidance is up to date in all GSAB Safeguarding Training (Levels 1-3) 	Policy and Procedure Sub Group Policy and Procedure Sub Group Workforce Development Sub Group	Business Plan Year 1 - 2022/23 Business Plan Year 1 - 2022/23 Business Plan Year 1 - 2022/23
2.6 GSAB will have effective transitioning arrangements in place for those children who require adult services owing to ongoing care and support needs	We will continue to review and develop our transitioning arrangements in order that there is a seamless transition from children to adult services	<ul style="list-style-type: none"> GSAB policy and guidance is promoted through learning sessions and briefings as appropriate The GSAB will explore the establishment of Transitional Safeguarding Group along with the GSCP 	Workforce Development Sub Group	Business Plan Year 1 - 2022/23 Business Plan Year 2 - 2023/24

2.7 GSAB will continue to seek assurance that the risk posed by Hoarding is minimized in Gloucestershire	We will continue to review and develop our approach to responding to hoarding in order to safeguard individuals who are at risk	<ul style="list-style-type: none"> The Fire Safety Development Group will review how partners in Gloucestershire respond to incidents of hoarding and ensure our policy is fit for purpose The Fire Safety Development Group will consider the need for a County-wide Hoarding Sub Group in order to bring about better outcomes for individuals that hoard 	Fire Safety Development Sub Group	Business Plan Year 2 - 2023/24
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Strategic Plan PRIORITY 3 - Learning and Continuous Improvement

Goal <i>(What do we want to achieve)</i>	Initiative <i>(How will we achieve our goal)</i>	Metric <i>(How will we know we have achieved our goal)</i>	Lead/sub group	Timescale
3.1 Promote a culture of continuous learning and improvement across the safeguarding partnership	We will review the current Workforce Development Strategy considering changes to working practices and communication methods as a direct result of the pandemic and new ways of working	<ul style="list-style-type: none"> A new Workforce Development strategy is published annually which reflects virtual, face to face and hybrid methods for delivery Our Train the Trainers are provided with the necessary skills-based training to deliver the Workforce development strategy The 2022 CPD Event for trainers included a session on 'Sharing best practice'. This enabled trainers to discuss any challenges identified when delivering the Safeguarding training. It provided an opportunity to share examples, tips and ideas on how to overcome any challenges currently faced when delivering virtual or face to face sessions. 	Workforce Development Sub Group	Business Plan Year 1 - 2022/23 Business Plan Year 2 - 2023/24 Business Plan Year 2 - 2023/24 Business Plan Year 2 - 2023/24

<p>We will adopt a continuous learning culture through sharing, case studies, resources, good practice examples and signposting across the partnership</p>	<ul style="list-style-type: none"> Access to relevant e-learning is available and promoted and evaluated Opportunities are identified to share training sessions on a reciprocal basis across the partnership where relevant Case studies are shared and promoted where appropriate to share good practice standards across the partnership as another learning tool An 'Information and Learning Zone' for staff across the partnership is being developed and hosted on the refreshed GSAB website Learning Zone production will commence in October 2022. This will be a multi-agency focused webpage to include case studies and resources from all partner agencies. These can be accessed and utilised in training sessions, team meetings, and even in supervisions to ensure Safeguarding is continuously promoted. 		Business Plan Year 2 - 2023/24 Business Plan Year 2 - 2023/24
<p>We will seek assurance from across the partnership in relation to delivery of Safeguarding and MCA training for staff groups and compliance</p>	<ul style="list-style-type: none"> Workforce Development Sub Group develop an audit tool based on scoping for wide dissemination and completion by partners reporting to Workforce Development Sub Group Partners evidence training standards against National Safeguarding and MCA Framework Tools for staff groups and competency requirements including compliance and KPI's 	Performance, Data & Quality Assurance Sub Group Workforce Development Sub Group	Business Plan Year 2 - 2023/24 Business Plan Year 2 - 2023/24
<p>We will develop a best practice model/methodology to disseminate learning from all published Safeguarding Adults Reviews (SARs)</p>	<ul style="list-style-type: none"> Virtual methods are developed and implemented to deliver SAR learning widely 	SAR Sub Group	Business Plan Year 2 - 2023/24

	<ul style="list-style-type: none"> The 2022 Train the Trainer CPD Event included information on the criteria for a SAR, how and when to refer and the decision making process. Information on recent SARs was also shared. 		
	<p>We will promote and share learning from other organisational processes including thematic learning emerging from SAR referrals</p>	<ul style="list-style-type: none"> Learning is shared and embedded across the partnership and wider agencies We will benchmark how we conduct SARs against the learning from the National SAR Analysis and develop a comprehensive action plan to ensure that we implement all the recommendations We have adopted the National SAR Quality Markers and are using them in all future SARs commissioned We will ensure that emerging trends and themes from local SARs inform communications and briefings 	<p>Workforce Development Sub Group</p> <p>SAR Sub Group</p> <p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 2 - 2023/24</p>
3.2 We will seek assurance that partners implement recommendations and learning identified in Safeguarding Adult Reviews	We will ensure that SAR Action Plans are SMART and that there is a process for check and challenge with partner agencies to ensure learning is implemented	<ul style="list-style-type: none"> SAR action plans and responses to recommendations are supported by evidence from partner agencies Relevant agencies and partners will provide updates to the SAR Sub Group (who monitor SAR Action Plans on behalf of the GSAB) to assure that lessons have been learnt 	<p>SAR Sub Group</p> <p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 1 - 2022/23</p>
3.3 GSAB will adopt learning from other SABs and national reviews to improve as a partnership ensuring we meet statutory requirements	GSAB will scan for relevant SARs at a Regional and National level to identify potential learning for Gloucestershire	<ul style="list-style-type: none"> GSAB will work closely with Regional partners to identify potential learning GSAB will work closely with National partners to identify potential learning 	<p>Independent Chair and Board Business Manager</p> <p>Business Plan Year 1 - 2022/23</p> <p>Business Plan Year 1 - 2022/23</p>

<p>3.4 The GSAB will seek to help Gloucestershire establish a clear and inclusive pathway for Individuals who lead complex lives owing to their health (including alcohol and substance misuse, and through exploitation) and social care needs (including homelessness) in order to achieve better outcomes and keep them safe.</p>	<p>The GSAB will seek to establish guidance on managing cases relating to adults where there is a high level of risk, but the circumstances may sit outside the statutory adult safeguarding framework but for which a multi-agency approach would be beneficial.</p>	<ul style="list-style-type: none"> Updated multi-agency guidance will be available for staff and professionals 	Policy and Procedure Sub Group	Business Plan Year 2 - 2023/24
	<p>The GSAB will take the findings and recommendations from recent SARs involving individuals with complex health and social care needs in order to establish a position statement, in order to support and influence partners, in establishing a clear pathway to achieve better outcomes.</p>	<ul style="list-style-type: none"> Key issues will be raised with relevant stakeholders A Position Paper will be shared with Key Influencers in order to support positive change 	SAR Sub Group	Business Plan Year 2 - 2023/24

Safeguarding Adults Boards have a unique set of risks to monitor and manage which would likely include, but go well beyond, gaining assurance that partner agencies are managing their own risk in relation to Safeguarding Adults.

RISK	IMPACT/CONSEQUENCE				
LIKELIHOOD	1	2	3	4	5
	Insignificant	Minor	Moderate	Major	Critical
Almost certain (5)	5	10	15	20	25
Highly likely (4)	4	8	12	16	20
Probable (3)	3	6	9	12	15
Possible (2)	2	4	6	8	10
Rare (1)	1	2	3	4	5

Risk 2.2	Individual and collective information sharing protocols are understood and applied Rating 2 x 5 = 10
Risk 2.3	The profile of safeguarding adults at risk is poor with the general public, people who use services, carers and professionals and practitioners Rating 2 x 4 = 8
Risk 3.2	Following the migration to Liquid Logic there are currently concerns about the accuracy of the data being provided on safeguarding activity Rating 4 x 3 = 12
Risk 4.1	Evidencing how partners meet statutory guidance (Care Act 2014 and Making Safeguarding Personal) in relation to facilitating person-centred outcomes Rating 3 x 3 = 9
Risk 4.2	Wider partnership information and data is not available to the Board to provide assurance that appropriate steps are taken to protect and safeguard adults at risk e.g. training and recruitment Rating 4 x 4 = 8
Risk 5.1	Failure to meet statutory requirements in relation to compliance with the MCA and ensure the principles of the MCA are embedded in practice Rating 3 x 4 = 12
Risk 5.2	Failure to meet statutory requirements in relation to compliance with Deprivation of Liberty Safeguards (DoLS) & Supreme Court Judgement March 2014 Rating 3 x 4 = 12
Risk 5.3	Failure to implement Liberty Protection Safeguards (LPS) and embed it across agencies Rating 3 x 4 = 12

1. STRATEGIC LEADERSHIP

SAB Priority	The Risk	Consequence/impact	Current RAG LxC	Mitigating actions	Risk owner	Timeframe	Target RAG
	No current risks.						

2. REPUTATION

SAB Priority	The Risk	Consequence/impact	Current RAG LxC	Mitigating actions	Risk owner	Timeframe	Target score
2.2	Individual and collective information sharing protocols are not understood and applied	<p>Information is not shared appropriately and individuals are not protected or are placed in danger. (<i>There is evidence to suggest through SARs and Safeguarding Information Sharing Meetings that information is not being shared between agencies</i>)</p> <p>Agencies are not able to undertake their work effectively or safely.</p> <p>Reputational damage to the Board and Gloucestershire.</p>	2 x 5 = 10 Amber	<p>GSAB can request as necessary, information that a wide number of partners may hold (Care Act 2014 – Section 45)</p> <p>Information sharing is covered in the Safeguarding Adults training.</p> <p>Information Sharing Guidance is regularly reviewed and updated.</p> <p>GSAB Escalation Protocol has been produced and is regularly reviewed.</p>	GSAB Partner Agencies		1 x 4 = 4 Green

2.3 The profile of safeguarding adults at risk is poor with the general public, people who use services, carers and professionals and practitioners. The strategic profile of safeguarding is not a priority with some organisations.	<p>People do not know what adult abuse is.</p> <p>People do not know how to report abuse.</p> <p>People do not know how to prevent abuse.</p> <p>People do not know what to expect once abuse has been identified and reported.</p> <p>People do not know their rights.</p> <p>Significant harm to adults at risk due to lack of awareness and knowledge.</p> <p>Reputational damage to the Board and the County of Gloucestershire.</p>	<p>2 x 4 = 8 Amber</p>	<p>Council Members are aware of their roles and responsibilities in relation to Safeguarding Adults.</p> <p>Partner agencies provide an appropriate level of training for those staff who have substantial and unsupervised contact with adults at risk.</p> <p>Greater consultation, communication and engagement with the public and people who use services.</p> <p>Evidence through data collection and MSP</p> <p>Updated Safeguarding Guidance Notes and Procedures for Elected Members have been produced and circulated to all members.</p> <p>The GSAB quarterly newsletter is sent to around 3,200 people.</p> <p>Annual GSAB Roadshows are held to increase awareness of safeguarding and to highlight topical themes.</p> <p>One of the days of the 2022 GSAB Roadshows is for the public, raising awareness of the current issues.</p> <p>An ICS presentation was delivered to the February 2022 GSAB and a further update is planned for later in the year, to ensure adult safeguarding is prioritised in the new structure.</p>	<p>GSAB Partner Agencies</p>	<p>1 x 2 = 2 Green</p>
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3. INFORMATION GOVERNANCE

SAB Priority	The Risk	Consequence/impact	Current RAG LxC	Mitigating actions	Risk owner	Timeframe	Target score
3.2	Following the migration to Liquid Logic there are currently concerns about the accuracy of the data being provided on safeguarding activity.	Data cannot be relied upon to provide assurance to the Board that appropriate steps are being taken to safeguard adults with care and support needs.	4 x 3 = 12 Amber	This issue has been raised with the GCC Performance and Data Team and work is underway to rectify the problem.	GCC		1 x 2 = 2 Green

4. OPERATIONAL DELIVERY

SAB Priority	The Risk	Consequence/impact	Current RAG LxC	Mitigating actions	Risk owner	Timeframe	Target score
4.1	Evidencing how partners meet statutory guidance (Care Act 2014 and Making Safeguarding Personal) in relation to facilitating person-centred outcomes	Safeguarding is 'done to people' rather than with people due to procedures and practices in Gloucestershire being not fit for purpose because they are not informed by people's experiences. Relationships with the community are impaired. Lack of community	3 x 3 = 9 Amber	Case File Audits regularly highlight good MSP by Adult Social Care locality teams. MSP data is captured on the monthly performance data report. Partner agencies (police, housing and advocacy) have benchmarked themselves against the new ADASS/LGA MSP Guidance. A new national GSAB Self-Assessment Audit template for statutory partners and a version for VCS partners is being used for the first time in 2022.	GSAB Partner Agencies		1 x 4 = 4 Green

		understanding to inform the work of the Board.					
4.2	Wider partnership information and data is not available to the Board to provide assurance that appropriate steps are taken to protect and safeguard adults at risk	Data is not available to provide assurance to the Board that appropriate steps are being taken to safeguard adults with care and support needs.	4 x 4 = 8 Red	Multi-agency data has been provided by Gloucestershire Constabulary, CGL and POhWER, which has been incorporated into the GSAB Quarterly Report. Health data is being sought. GCC data is currently unreliable due to the changeover to Liquid Logic and Power BI, this situation has remained unchanged for 12 months.	GSAB Partner Agencies		1 x 3 = 3 Green

5. STATUTORY/REGULATORY/LEGAL

SAB Priority	The Risk	Consequence/impact	Current RAG LxC	Mitigating actions	Risk owner	Timeframe	Target score
5.1	Failure to meet statutory requirements in relation to compliance with the MCA and ensure the principles of the MCA are embedded in practice.	Errors in completing MCA assessments (legal/reputational challenge) Failure to protect Article 5 & 8 rights of individuals (legal/reputational challenge) Failure to ensure the right of choice for individuals as set out in the MCA (legal/reputational challenge) Delay in the adult at risk receiving an appropriate	3 x 4 = 12 Amber	The MCA Policy has been reviewed and updated and is on the GCC, GSAB and CCG website. Fully funded MCA training is available and runs on a monthly basis, ad hoc team specific training can also be provided. The Mental Capacity Act Governance Group (MCAGG) meets on a quarterly basis. New Best Interest Meeting leaflets have been produced for family members as a result of a recommendation from the 'Nick' SAR.	GSAB Partner Agencies		1 x 1 = 1 Green

		and timely service (Government intervention/challenge) Assessments not completed within expected timescales					
5.2	Failure to meet statutory requirements in relation to compliance with Deprivation of Liberty Safeguards (DoLS) & Supreme Court Judgement March 2014	Delays in providing the adult with the protections of the safeguards, including the right of challenge and the right to advocacy	3 x 4 = 12 Amber	<p>DoLS Supervisory Body oversight.</p> <p>ADASS Guidance is followed regarding the prioritisation and identification of 'technical' breaches as opposed to a 'substantive' breach. A risk based approach is used.</p> <p>Law Commission consultation & recommendations, interim statement disseminated.</p> <p>All applications and reviews are prioritised in line with the ADASS screening tool.</p> <p>DoLS Policy & Procedures has been reviewed and updated and a working draft is on the GSAB website.</p> <p>Following a Law Commission update, a change to DoLS is unlikely before 2023. A robust system is in place to minimise the risk of substantive breaches.</p> <p>The risk position for the local authority in relation to the backlog has been reviewed</p>	GCC		
5.3	Failure to implement Liberty Protection Safeguards (LPS) and	Delays in providing the adult with the protections of the safeguards,	3 x 4 = 12 Amber	<p>An LPS Project Group has been established, with regular meetings scheduled.</p> <p>LPS is a regular item on the GSAB agenda,</p>	Health and Social Care		

	embed it across agencies	including the right of challenge and the right to advocacy		with updates from the Head of Safeguarding Adults. An LPS Multi-Agency Workforce Development Group has been established and will meet regularly.	Partners		
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Brief Overview (LxC)	
Risk 1.1	<p>Links with other partnerships such as Safer Gloucestershire, Health and Wellbeing Board, Learning Disability Partnership Board, Mental Health Partnership Board, Overview and Scrutiny Committee and Community Safety Partnerships are not effectively identified and managed. Rating 3 x 2 = 6</p> <p>Mitigation: A joint protocol has been produced by Safer Gloucestershire detailing how boards will work together. SJ has become a member of Safer Gloucestershire. PY has been invited to become a member of the Health and Wellbeing Board and Action on ACE's Panel. PY has established links with Mark Power, the new Independent Scrutineer of the GSCP.</p>
Risk 1.2	<p>Agencies prioritise safeguarding children and young people at the expense of adults with care and support needs Rating 3 x 2 = 6</p> <p>Mitigation: Close monitoring, partners to raise concerns; changes have been made to the format of Board meetings to increase the board's assurance role and to allow greater scrutiny.</p>
Risk 2.1	<p>There is a failure to disseminate learning from Safeguarding Adults Reviews (SARs) or national and or local enquiries, and measure its impact Rating 2 x 3 = 6</p> <p>Mitigation: Learning events are held for individual SARs to share learning. All SAR Reports are published on the GSAB website. Learning from SARs is shared with the Safeguarding Lead from statutory partners; this is then disseminated throughout their organisation as appropriate. A local action plan has been produced in response to the National Analysis of SARs findings, implementing best practice nationally. The National SAR Quality Markers were launched in March 2022 and have been adopted locally and nationally.</p>
Risk 3.1	<p>Partnership and partner agencies internal safeguarding arrangements and management of risk are not robust Rating 2 x 3 = 6</p> <p>Mitigation: Partner agencies implement a 'Duty of Candour' by proactively bringing high risks to the attention of the Board at the earliest opportunity. Partner agencies have an adequate risk management process. GSAB members complete a Self-Assessment Audit every two years. The focus of the Strategic Plan is prevention and the wider risk. The structure of Board meetings is to provide scrutiny and assurance.</p>

Department	Deprivation of Liberty Safeguards (DoLS) Level 1	Mental Capacity Act Level 1 (Elearning)	Mental Capacity Act Level 2 - (Elearning)	Mental Capacity Act Level 2 - GSAB Approved Trainers	Mental Capacity Act Level 3	Moder Slavery (Elearning)	Domestic Abuse (Elearning)	Safeguarding Leadership (Elearning)	Introduction to Safeguarding Adults and Children (Elearning)	Safeguarding Adults Level 1	Safeguarding Adults Level 2 - (Elearning)	Safeguarding Adults Level 2 GSAB Approved Trainers	Safeguarding Level 3	Safeguarding Adults Level 4	Self Neglect - Level 4 for ASC	Domestic Abuse - Level 4 for ASC	Grand Total
Dental Practices	1	1	1			3		1	19	17	2		1				7
District Councils	1	1	1										1				45
Domiciliary & Community Based Care Providers (Independent)	117	124	65	19	100	36	44	96	133	134	72	36					976
Education, Learning & Training Providers	14	15	9		19	4	7	37	35	26		8					174
Gloucester City Council	1	1			28	9	3	9	22	14							87
Gloucestershire Care Services NHS Trust													2				2
Gloucestershire Clinical Commissioning Group	1	2	1	1	2			22	5	183	218						435
Gloucestershire Constabulary		1			1			2	5	5	60	7					81
Gloucestershire County Council Employees	61	65	54	23	95	160	31	11	53	273	100	23	89	33	40	37	1148
Gloucestershire Fire and Rescue Service	2		1		1			3	38	97		2					144
Gloucestershire Health and Care NHS Foundation Trust		357	1	4	1			1	2	560	5	1223	335	18			2507
Gloucestershire Hospitals NHS Trust	1	454			1	2		1	1778	874			17				3128
GP Practices	2	1	1	1	3			1	1	2	28	59	51				150
Housing Providers	9	11	7		25	11	3	13	23	31		7					140
Learning Disabilities Providers (Independent)	63	112	145	51	21	143	43	21	51	104	240	74	43				1111
Mental Health Providers (Independent)	15	14	9		20	13	6	53	26	26		2					184
Neighbourhood services					2	1		2	2	1							8
Older People Residential & Nursing Providers (Independent)	94	93	36	15	14	83	18	37	29	86	116	55	32				708
Other	52	23	35		77	8	39	88	71	41		3					437
Personal Assistants & Individual Employers	1		1		3		1	2	1	3							12
Service Users/Patients					1	1		1	3	2		1					9
Shared Lives & Foster Carers		1			2			3	39	5	18						68
Unpaid Carers for family members / friends		5	6		3				3								17
Voluntary Community Sector Organisations	17	69	33	2	2	62	28	27	173	188	152	98	49				900
Grand Total	451	1350	406	91	158	741	203	202	639	3431	1910	1865	903	51	40	37	12478